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U.S. APPLICATION NUMBER NO.

09/914,082

FIRST NAMED APPLICANT

Dirk Joedicke

ATTY. DOCKET NO.

1-15478

INTERNATIONAL APPLICATION NO.

PCT/DE00/00553

I.A. FILING DATE

02/24/2000

PRIORITY DATE

03/01/1999

Phillip S Oberlin
Marshall & Melhorn
Four Seagate 8th Floor
Toledo, OH 43604

COPY

CONFIRMATION NO. 9345

371 FORMALITIES LETTER



OC000000006905236

Date Mailed: 10/17/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,082	PCT/DE00/00553	1-15478

1-15478

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on March 11, 2002 and is addressed to the Commissioner for Patents, Box PCT, U.S. Patent and Trademark Office, Washington, D.C. 20231.

Kathleen J. Moore

(Signature of person mailing correspondence)

Kathleen J. Moore

(Typed name of person mailing correspondence)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:]	
DIRK JOEDICKE, HANS-JOACHIM]	
BECKER, VOLKER GRUMPICH and]	Group Art Unit:
RICHARD BATCHELOR]	
]	
Serial No. 09/914,082]	
Filed:]	Examiner:
]	
Filing Under 35 U.S.C. 371 in the DO/EO/US]	
off PCT/DE00/00553 filed 24 February 2000]	
]	
For: ELECTROCHROMIC ELEMENT]	Attorney Docket: 1-15478

March 11, 2002

Commissioner for Patents
Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 USC 371

Honorable Sir:

In response to the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)" dated October 17, 2001 (copy attached), enclosed is a Petition for a 3-Month Extension of Time, extending the deadline for filing this Response to March 17, 2002, and the fully executed Combined Declaration and Power of Attorney document.

Also enclosed is an Assignment document from the inventors to Flabeg GmbH; a Request for Correction of the Inventor's address (Volker Gumprich); and a check in the amount of \$1,090.00 to cover the requisite fees: \$130 surcharge for late filing of the Declaration; \$920 for the 3-Month Extension of Time; and \$40 for recordation of the Assignment.

We are also submitting concurrently herewith a "Request to Add Joint Inventor to Application Under 37 CFR 1.48", along with a check in the amount of \$130 to cover the requisite processing fee, as well as the following supporting documentation: Statement of Facts by Named Inventors and Inventor Being Added; copies of the executed Combined Declaration and Power of Attorney document and executed Assignment; Consent of Assignee to Add Joint Inventor; and a Second Preliminary Amendment amending the designation of joint inventors, adding a fourth inventor.

Please charge any additional fees due or credit any overpayment to Deposit Account No. 13-1816.

Respectfully submitted,



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